

---

---

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SCHEDULE 13D

Under the Securities Exchange Act of 1934  
(Amendment No. 1)\*

---

**Iovance Biotherapeutics, Inc.**

(Name of Issuer)

---

**Common Stock, \$0.000041666 par value per share**

(Title of Class of Securities)

---

**462260 10 0**

(CUSIP Number)

---

**Wayne P. Rothbaum  
Quogue Capital LLC  
101 Central Park West  
Suite 1F  
New York, New York 10019  
(212) 554-4475**

---

(Name, Address and Telephone Number of Person  
Authorized to Receive Notices and Communications)

---

**December 6, 2018**

(Date of Event Which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of §§240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box.

**Note:** Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See Rule 13d-7 for other parties to whom copies are to be sent.

\* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

---

---

---

1. Names of Reporting Persons  
Quogue Capital LLC

---

2. Check the Appropriate Box if a Member of a Group (See Instructions)

(a)

(b)

---

3. SEC Use Only

---

4. Source of Funds (See Instructions)  
WC

---

5. Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)

---

6. Citizenship or Place of Organization  
Delaware

---

7. Sole Voting Power  
0

---

Number of  
Shares  
Beneficially  
Owned by  
Each  
Reporting  
Person With

8. Shared Voting Power  
9,000,000

---

9. Sole Dispositive Power  
0

---

10. Shared Dispositive Power  
9,000,000

---

11. Aggregate Amount Beneficially Owned by Each Reporting Person  
9,000,000

---

12. Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions)

---

13. Percent of Class Represented by Amount in Row (11)  
7.2%

---

14. Type of Reporting Person (See Instructions)  
OO (Other)

---

---

---

1. Names of Reporting Persons  
Wayne P. Rothbaum

---

2. Check the Appropriate Box if a Member of a Group (See Instructions)

(a)

(b)

---

3. SEC Use Only

---

4. Source of Funds (See Instructions)  
PF

---

5. Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)

---

6. Citizenship or Place of Organization  
United States

---

7. Sole Voting Power  
0

---

Number of  
Shares  
Beneficially  
Owned by  
Each  
Reporting  
Person With

8. Shared Voting Power  
9,000,000

---

9. Sole Dispositive Power  
0

---

10. Shared Dispositive Power  
9,000,000

---

11. Aggregate Amount Beneficially Owned by Each Reporting Person  
9,000,000

---

12. Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions)

---

13. Percent of Class Represented by Amount in Row (11)  
7.2%

---

14. Type of Reporting Person (See Instructions)  
PN

---

---

### **Explanatory Note**

This Amendment No. 1 (the "Amendment") to Schedule 13D is being filed with the Securities & Exchange Commission (the "SEC") jointly on behalf of the following persons (the "Filing Persons"): Quogue Capital LLC, a Delaware limited liability company ("Quogue") and Wayne P. Rothbaum ("Mr. Rothbaum"), and relates to the common stock, \$0.000041666 par value per share (the "Common Stock"), of Iovance Biotherapeutics, Inc., a Delaware corporation (previously, Lion Biotechnologies, Inc.). Mr. Rothbaum is the sole managing member of Quogue, and in his capacity as such, may be deemed to exercise shared voting and investment power over the shares held by Quogue. The Filing Persons specifically disclaim beneficial ownership in the securities reported herein except to the extent of any pecuniary interest therein. This Amendment amends and supplements the initial statement on Schedule 13D filed by the Filing Persons with the SEC on June 13, 2016 (the "Original Schedule 13D"). Except as set forth herein, this Amendment does not modify any of the information previously reported by the Filing Persons in the Original Schedule 13D. Unless otherwise indicated, all capitalized terms used herein have the meanings ascribed to them in the Original Schedule 13D.

#### **Item 1. Security and Issuer**

##### **Item 1 is hereby amended to add the following:**

On June 1, 2017, Lion Biotechnologies, Inc. ("Lion") changed its state of incorporation from the State of Nevada to the State of Delaware. On June 27, 2017, Lion changed its corporate name to Iovance Biotherapeutics, Inc. (the "Issuer").

The principal executive offices of the Issuer are located at 999 Skyway Road, Suite 150, San Carlos, California 94070.

#### **Item 2. Identity and Background**

##### **Item 2 is hereby amended and restated as follows:**

(a) This statement is being filed jointly on behalf of the Filing Purposes: Quogue Capital LLC, a Delaware limited liability company and Wayne P. Rothbaum. Mr. Rothbaum is the sole managing member of Quogue, and in his capacity as such, may be deemed to exercise shared voting and investment power over the shares held by Quogue. The Filing Persons specifically disclaim beneficial ownership in the securities reported herein except to the extent of any pecuniary interest therein.

(b) The address of the principal business and office of Quogue is 101 Central Park West, Suite 1F, New York, New York 10019. Mr. Rothbaum's principal business and office is located in the State of Florida, but for purposes of this filing, Mr. Rothbaum's address is c/o Quogue Capital LLC, 101 Central Park West, Suite 1F, New York, New York 10019.

(c) The principal business of each of the Filing Persons is to invest in securities.

(d) During the five years prior to the date hereof, neither of the Filing Persons has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors).

(e) During the last five years prior to the date hereof, neither of the Filing Persons was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction and as a result of which such person was or is subject to a judgment, decree or final order enjoining future violations of, or prohibiting or mandating activities subject to, federal or state securities laws or finding any violation with respect to such laws.

(f) Quogue Capital LLC is a Delaware limited liability company and Wayne P. Rothbaum is a United States citizen of the State of Florida. For purposes of this Amendment, the address of the principal business and office of Quogue is provided above.

---

**Item 3. Source and Amount of Funds or Other Consideration**

**Item 3 is hereby amended to add the following:**

Quogue previously held Warrants to purchase 2,000,000 shares of Common Stock. On July 9, 2018, Quogue exercised the Warrants in full and purchased 2,000,000 shares of Common Stock at the exercise price stated in the Warrant.

Between December 4 and December 6, 2018, Quogue purchased an aggregate of 1,221,053 shares of Common Stock in a series of open market transactions, for an aggregate purchase price of \$12,449,650.12.

As a result of the transactions above, Quogue is the direct beneficial owner of 9,000,000 shares of Common Stock, which consists of 7,067,333 shares of Common Stock and 1,932,667 shares of Series B Preferred Stock. Mr. Rothbaum, in his capacity as the sole managing member of Quogue, may be deemed the indirect beneficial owner of the aforementioned securities held by Quogue.

The working capital of the Filing Persons was the source of the funds for the purchase of the securities described above. No part of the purchase price of the securities described above was represented by funds or other consideration borrowed or otherwise obtained for the purpose of acquiring, holding, trading or voting the securities described above.

**Item 5. Interest in Securities of the Issuer**

**Item 5 is hereby amended and restated as follows:**

(a) As more fully described in Item 3 above, each of the Filing Persons is the beneficial owner of 9,000,000 shares of the Issuer's Common Stock, consisting of 7,067,333 shares of Common Stock and 1,932,667 shares of Series B Preferred Stock, which are convertible into shares of Common Stock within 60 days of the date of this filing, representing 7.2% of the Issuer's shares of Common Stock outstanding. The percentage calculations are based upon 123,169,528 shares of Common Stock outstanding as of October 31, 2018 based on information provided by the Issuer.

(b) By virtue of his status as the sole managing member of Quogue, Mr. Rothbaum may be deemed to share voting and dispositive power with respect to the 9,000,000 shares of the Issuer's Common Stock beneficially owned by Quogue. Mr. Rothbaum disclaims beneficial ownership of the securities and this report shall not be deemed an admission that Mr. Rothbaum is the beneficial owner of such securities for purposes of Section 16 or for any other purpose, except to the extent of his pecuniary interest therein.

(c) During the past sixty days prior to the date hereof, the following transactions occurred:

Other than as described in Items 3 and 4 above, during the past sixty days prior to the date hereof, the Filing Persons have not engaged in any transaction in the Issuer's Common Stock.

(d) No person, other than Mr. Rothbaum, is known to have the right to receive or the power to direct the receipt of dividends from, or any proceeds from the sale of, the shares of Common Stock beneficially owned by Quogue.

(e) Not applicable.

---

**Signature**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: December 7, 2018

Quogue Capital LLC

By: /s/ Wayne P. Rothbaum

Name: Wayne P. Rothbaum

Title: Managing Member

Dated: December 7, 2018

/s/ Wayne P. Rothbaum

Name: Wayne P. Rothbaum

**ATTENTION**

**Intentional misstatements or omissions of fact constitute Federal Criminal Violations (See 18 U.S.C. 1001).**