

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject  
to Section 16. Form 4 or Form 5  
obligations may continue. See  
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934  
or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
<u>BANK OF AMERICA CORP /DE/</u>	<u>NEUBERGER BERMAN NEW YORK</u>	Director <input checked="" type="checkbox"/> 10% Owner
(Last) (First) (Middle)	<u>MUNICIPAL FUND INC. [ NBO ]</u>	Officer (give title below) Other (specify below)
<u>BANK OF AMERICA CORPORATE CENTER</u>	3. Date of Earliest Transaction (Month/Day/Year)	
<u>100 N TRYON ST</u>	<u>11/29/2018</u>	
(Street)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)
<u>CHARLOTTE NC 28255</u>		Form filed by One Reporting Person
(City) (State) (Zip)		<input checked="" type="checkbox"/> Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Variable Rate Municipal Term Preferred Shares	11/29/2018		J <sup>(1)(2)</sup>		342	D <sup>(1)</sup>	(1)	483	I	By Subsidiary <sup>(2)(3)</sup>
Variable Rate Municipal Term Preferred Shares	11/29/2018		J <sup>(1)(2)</sup>		342	A <sup>(1)</sup>	(1)	483	I	By Subsidiary <sup>(2)(3)</sup>

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date					

1. Name and Address of Reporting Person*
<u>BANK OF AMERICA CORP /DE/</u>
(Last) (First) (Middle)
<u>BANK OF AMERICA CORPORATE CENTER</u>
<u>100 N TRYON ST</u>
(Street)
<u>CHARLOTTE NC 28255</u>
(City) (State) (Zip)

## 1. Name and Address of Reporting Person\*

[Banc of America Preferred Funding Corp](#)

(Last) (First) (Middle)

[214 NORTH TRYON STREET](#)

(Street)

[CHARLOTTE NC 28255](#)

(City) (State) (Zip)

## 1. Name and Address of Reporting Person\*

[Blue Ridge Investments, L.L.C.](#)

(Last) (First) (Middle)

[ONE BRYANT PARK](#)

(Street)

[NEW YORK NY 10036](#)

(City) (State) (Zip)

**Explanation of Responses:**

1. The 483 variable rate municipal term preferred shares shown in Table I represent variable rate municipal term preferred shares of the Issuer (the "VMTP Shares") that were beneficially owned in part by Banc of America Preferred Funding Corporation ("PFC") and in part by Blue Ridge Investments, L.L.C. ("Blue Ridge"). The 342 VMTP Shares beneficially owned by Blue Ridge were transferred from Blue Ridge to PFC for a purchase price of \$100,000 per share and all VMTP Shares are now beneficially owned by PFC. Each of PFC and Blue Ridge is a wholly owned subsidiary of Bank of America Corporation.

2. This statement is jointly filed by Bank of America Corporation, PFC and Blue Ridge. Bank of America Corporation holds an indirect interest in the securities listed in Table I by virtue of its indirect ownership of its subsidiary PFC.

3. Each reporting person declares that neither the filing of this statement nor anything herein shall be construed as an admission that such person is, for the purposes of Section 13(d) of the US Securities Exchange Act of 1934 or any other purpose, (i) acting (or has agreed or is agreeing to act together with any other person) as a partnership, limited partnership, syndicate or other group for the purpose of acquiring, holding or disposing of securities of the Issuer or otherwise with respect to the Issuer or any securities of the Issuer or (ii) a member of any group with respect to the Issuer or any securities of the Issuer.

[/s/ Ronnie Ojera \(BANK OF AMERICA CORPORATION\)](#) [12/03/2018](#)

[/s/ Michael Jentis \(BANC OF AMERICA PREFERRED FUNDING CORPORATION\)](#) [12/03/2018](#)

[/s/ James W. Brewer \(BLUE RIDGE INVESTMENTS, L.L.C.\)](#) [12/02/2018](#)

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.**

## JOINT FILING AGREEMENT

Pursuant to and in accordance with the Securities Exchange Act of 1934, as amended (the "**Exchange Act**"), and the rules and regulations thereunder, each party hereto hereby agrees to the joint filing, on behalf of each of them, of any filing required by such party under Section 13 or Section 16 of the Exchange Act or any rule or regulation thereunder (including any amendment, restatement, supplement, and/or exhibit thereto) with the Securities and Exchange Commission (and, if such security is registered on a national securities exchange, also with the exchange), and further agrees to the filing, furnishing, and/or incorporation by reference of this agreement as an exhibit thereto. This agreement shall remain in full force and effect until revoked by any party hereto in a signed writing provided to each other party hereto, and then only with respect to such revoking party.

IN WITNESS WHEREOF, each party hereto, being duly authorized, has caused this agreement to be executed and effective as of the date set forth below.

Date: December 3, 2018

**BANK OF AMERICA CORPORATION**

By: /s/ Ronnie Ojera  
Name: Ronnie Ojera  
Title: Attorney-in-fact

**BANC OF AMERICA PREFERRED FUNDING CORPORATION**

By: /s/ Michael Jentis  
Name: Michael Jentis  
Title: Authorized Signatory

**BLUE RIDGE INVESTMENTS, L.L.C.**

By: /s/ James W. Brewer  
Name: James W. Brewer  
Title: Director

## JOINT FILER INFORMATION

Item	Information
Name:	Banc of America Preferred Funding Corporation
Address:	214 North Tryon Street Charlotte, North Carolina 28255
Date of Event Requiring Statement (Month/Day/Year):	November 29, 2018
Issuer Name and Ticker or Trading Symbol:	Neuberger Berman New York Municipal Fund Inc. (NBO)
Relationship of Reporting Person(s) to Issuer:	10% Owner
If Amendment, Date Original Filed (Month/Day/Year):	Not Applicable
Individual or Joint/Group Filing:	Form filed by More than One Reporting Person
Signature:	Banc of America Preferred Funding Corporation
	By: <u>/s/ Michael Jentis</u> Name: Michael Jentis Title: Authorized Signatory Date: December 3, 2018

Item	Information
Name:	Blue Ridge Investments, L.L.C.
Address:	One Bryant Park New York, New York 10036
Date of Event Requiring Statement (Month/Day/Year):	November 29, 2018
Issuer Name and Ticker or Trading Symbol:	Neuberger Berman New York Municipal Fund Inc. (NBO)
Relationship of Reporting Person(s) to Issuer:	10% Owner
If Amendment, Date Original Filed (Month/Day/Year):	Not Applicable
Individual or Joint/Group Filing:	Form filed by More than One Reporting Person
Signature:	Blue Ridge Investments, L.L.C.
	By: <u>/s/ James W. Brewer</u> Name: James W. Brewer Title: Director Date: December 3, 2018

## VMTP SHARE HOLDINGS

<u>Owner</u>	<u># of VMTP Shares</u>	<u>% of total VMTP Shares</u>
Banc of America Preferred Funding Corporation	483	100%
Blue Ridge Investments, L.L.C.	0	0%